

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY DOCKET NO.: S63.2N-6769-US03	APPLICATION NO.: 10/705273
	APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean Skubitz	
	FILING DATE: November 10, 2003	GROUP: 3738

REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
VB	AA	5,091,211	2/25/92	Richard	427/44	
	AB	5,411,552	5/2/95	Andersen et al.	623/2	
	AC	5,649,952	7/22/97	Lam	606/198	
	AD	5,707,386	1/13/98	Schnepp-Pesch et al.	606/194	
	AE	5,876,432	3/2/99	Lau et al.	623/1	
	AF	5,925,061	7/20/99	Ogi et al.	606/198	
	AG	6,042,597	3/28/00	Kveen et al.	606/198	
	AH	6,056,775	5/2/00	Borgh et al.	623/1.16	
	AI	6,106,548	8/22/00	Roubin et al.	623/1.15	
	AJ	6,132,460	10/17/00	Thompson	623/1.15	
	AK	6,261,319	7/17/01	Kveen et al.	623/1.15	
	AL	6,340,366	1/22/02	Wijay	623/1.13	
	AM	6,355,057	3/12/02	DeMarais et al.	623/1.15	
	AN	6,358,274	3/19/02	Thompson	623/1.15	
	AO	6,471,720	10/29/02	Ehr et al.	623/1.15	
	AP	6,488,703	12/3/02	Kveen et al.	623/1.15	
	AQ	6,520,987	2/18/03	Plante	623/1.16	
	AR	6,533,808	3/18/03	Thompson	623/1.15	
	AS	6,540,777	4/1/03	Stenzel	623/1.16	
VB	AT	6,613,081	9/2/03	Kim et al.	623/1.15	
VB	AU	6,776,793	8/17/04	Brown et al.	623/1.15	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY
VB	BA	97/45073	12/4/97	WO
VB	BB	99/44535	9/10/99	WO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)

VB	CA	SMART™ Stent Brochure, Cordis, a Johnson & Johnson company.

EXAMINER	DATE CONSIDERED	
MJM	11/27/06	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)		ATTY DOCKET NO.: S63.2N-6769-US03		APPLICATION NO. 10/705273
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean Skubitz		
		FILING DATE: November 10, 2003		GROUP: 3738
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY				
EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)
VB	DA	60/076,946	3/5/98	Tseng et al.
VB	DB	09/623,347	11/15/00	Tseng et al.
VB	DC	10/474,848	12/29/03	Tseng et al.
	DD			
	DE			
EXAMINER			DATE CONSIDERED	
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)		ATTY DOCKET NO.: S63.2N-6769-US03		APPLICATION NO. 10/705273
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean Skubitz		
		FILING DATE: November 10, 2003		GROUP: 3738
US APPLICATIONS RELATED BY PRIORITY				
EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.
EA				
EB				
EC				
ED				
EE				
EF				
EXAMINER <i>MJL</i>			DATE CONSIDERED <i>11/27/06</i>	
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY DOCKET NO.: S63.2N-6769-US03	APPLICATION NO.: Not yet assigned
	APPLICANT: Brown et al.	
	FILING DATE: Concurrently herewith	GROUP: Not yet assigned

REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
✓b	AA 6,540,775	4/1/03	Fischell et al.	623/1.15		
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY
BA			
BB			
BC			
BD			
BE			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)

	CA		
	CB		
	CC		

EXAMINER *[Signature]* DATE CONSIDERED 11/27/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)	ATTY DOCKET NO.: S63.2N-6769-US03		APPLICATION NO. Not yet assigned
	APPLICANT: Brown et al.		
	FILING DATE: Concurrently herewith	GROUP: Not yet assigned	

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY

EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)
	DA			
	DB			
	DC			
	DD			
	DE			

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)	ATTY DOCKET NO.: S63.2N-6769-US03		APPLICATION NO. Not yet assigned
	APPLICANT: Brown et al.		
	FILING DATE: Concurrently herewith	GROUP: Not yet assigned	

US APPLICATIONS RELATED BY PRIORITY

EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
VB	EA	09/197,278	11/20/98		Brown et al.	X
VB	EB	08/511,076	8/3/95		Brown et al.	X
VB	EC	08/396,569	3/1/95		Brown et al.	X
	ED					
	EE					
	EF					

EXAMINER	DATE CONSIDERED	11/07/06
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EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT <small>(Use several sheets if necessary)</small>			ATTY DOCKET NO.: S63.2-6769			SERIAL NO.: 09/197,278		
			APPLICANT: Brian J. Brown et al.					
			FILING DATE: November 20, 2000			GROUP: 3731		
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS					
EXAMINER'S INITIALS		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	AA	5,836,966	11/17/98	St. Germain		606	198	
VP	AB	5,938,697	8/17/99	Killion et al.		623	1	
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
RELATED APPLICATIONS								
		APPLICATION NO.	FILING DATE	INVENTOR(S)		RELATED BY		
						SUBJECT	PRIORITY (S120)	
	BA							
	BB							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION
								YES
	CA							NO
	CB							
	CC							
	CD							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	DA							
	DB							
	DC							
EXAMINER	<i>Cfber</i>	DATE CONSIDERED <i>11/17/00</i>						
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT <small>(Use several sheets if necessary)</small>		ATTY DOCKET NO.: S63.2-6769		SERIAL NO.: 09/197,278	
		APPLICANT: Brian J. Brown et al.			
		FILING DATE: November 20, 1998		GROUP: 3731	
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS			
EXAMINER'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
					FILING DATE IF APPROPRIATE
AA					
AB					
AC					
AD					
AE					
AF					
AG					
AH					
AI					
AJ					
AK					
AL					
FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS
✓ BA	96/26689	9/6/96	WO		
✓ BB	98/20810	5/22/98	WO		
✓ BC	297 16 476 U1	2/5/98	DE		
✓ BD	0 876 806 A1	11/11/98	EP		
BE					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
CA					
CB					
CC					
EXAMINER	<i>[Signature]</i>		DATE CONSIDERED	11/07/06	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT <small>(Use several sheets if necessary)</small>			ATTY DOCKET NO.: S63.2-6769		SERIAL NO.: 09/197,278		
			APPLICANT: Brian J. Brown et al.				
			FILING DATE: November 20, 1998		GROUP: 3738		
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
EXAMINER'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Vb	AA	5,911,754	06/15/99	Kanesaka et al.	623	1	
↓	AB	5,922,021	07/13/99	Jang	623	1	
↓	AC	5,948,016	09/07/99	Jang	623	1	
DB	AD	5,954,743	09/21/99	Jang	606	198	
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	BA						YES NO
	BB						
	BC						
	BD						
	BE						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	CA						
	CB						
	CC						
EXAMINER	<i>Mabu</i>		DATE CONSIDERED	<i>11/27/06</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO.: S63.2-6769		SERIAL NO.: 09/197,278			
			APPLICANT: Brian J. Brown et al.					
			FILING DATE: November 20, 1998		GROUP: 3738			
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS					
EXAMINER'S INIT.		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA							
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
	AL							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION
								YES NO
<i>VB</i>	BA	298 16 878 U1	12/24/98	DE				(Abstract)
	BB							
	BC							
	BD							
	BE							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	CA							
	CB							
	CC							
EXAMINER	<i>Wade</i>		DATE CONSIDERED	<i>11/07/06</i>				
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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO: S63.2-6769		Application No: 09/197,278		
			APPLICANT: Brian J. Brown et al.				
			FILING DATE: November 20, 1998			GROUP: 3738	
			REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS		
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	AA 5,591,197	1/7/97	Orth et al.		606	198	
VB	AB 5,697,971	12/16/97	Fischell et al.		623	1	
VB	AC 5,718,713	2/17/98	Frantzen		606	198	
VB	AD 5,735,893	4/7/98	Lau et al.		623	1	
VB	AE 5,741,327	4/21/98	Frantzenq		623	1	
VB	AF 5,755,776	5/26/98	Al-Saadon		623	1	
VB	AG 5,755,781	5/26/98	Javaraman		623	1	
VB	AH 5,776,161	7/7/98	Globerman		606	194	
VB	AI 5,776,183	7/7/98	Kanesaka et al.		623	1	
VB	AJ 5,807,404	9/15/98	Richter		623	1	
VB	AK 5,810,872	9/22/98	Kanesaka et al.		606	198	
VB	AL 5,824,059	10/20/98	Wijay		623	1	
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION
VB	BA 297 01 758	3/27/97	DE				YES NO
VB	BB 297 02 671 U1	4/10/97	DE				
VB	BC 297 08 689 U1	7/17/97	DE				
VB	BD 297 08 879 U1	7/31/97	DE				
VB	BE 0 821 920 A1	2/4/98	EP				
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
VB	CA	Beyar et al, "The BeStent: The Parallel-Serial Jang Stents", <i>Handbook of Coronary Stents, Second Edition</i> , 158-171 & 229-234 (1998).					
VB	CB	Beyar et al, "Newer Stents: Materials and Designs", <i>LAGS Proceedings</i> , 9(5): 363-371 (June 1997).					
VB	CC	Roguin et al, "Acute and 30-Day Results of the Serpentine Balloon Expandable Stent Implantation in Simple and Complex Coronary Arterial Narrowings", <i>The American Journal of Cardiology</i> , 80:1155-1162 (November 1997).					
VB	CD	Roguin et al, "beStent-the serpentine balloon expandable stent: review of mechanical properties and clinical experience", <i>Artif Organs</i> , 22(3):243-249 (March 1998).					
EXAMINER <i>[Signature]</i>			DATE CONSIDERED		11/27/98		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY DOCKET NO: S63.2-6769	Application No: N/A
	APPLICANT: Brian J. Brown et al.	
	FILING DATE: November 20, 1998	Group:

REFERENCE DESIGNATION

FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINER'S INIT.		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
U	BF	97/04721	2/13/97	WO				
	BG	97/14375	2/24/97	WO				
	BH	97/25937	7/24/97	WO				
	BI	97/32543	9/12/97	WO				
	BJ	97/32544	9/12/97	WO				
	BK	97/33534	9/18/97	WO				
	BL	97/40780	11/6/97	WO				
	BM	97/40781	11/6/97	WO				
	BN	97/40782	11/6/97	WO				
	BO	97/40783	11/6/97	WO				
V6	BP	97/40874	11/6/97	WO				
	BQ							
	BR							
	BS							
	BT							
	BU							
	BV							
	BW							
	BX							
	BY							
	BZ							

EXAMINER

DATE CONSIDERED

11/27/06

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 2 of 2

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY DOCKET NO: S63.2-5605	Serial No: 08/511,076
		APPLICANT: Brian J. Brown, Michael L. Davis	
		FILING DATE: August 3, 1995	Group: 3303

REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

EXAMINER'S INT'L.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	4,553,545	11/19/1985	Maass et al.			
	4,733,665	03/29/1988	Palmaaz			
	4,739,762	04/26/1988	Palmaaz			
	4,776,337	10/11/1988	Palmaaz			
	4,830,003	05/16/1989	Wolff et al.			
	4,856,516	08/15/1989	Hillstead			
	4,886,062	12/12/1989	Wiktor			
	4,913,141	04/03/1990	Hillstead			
VB	4,994,071	02/19/1991	MacGregor			
	5,019,090	05/28/1991	Pinchuk			
VB	5,035,706	07/30/1991	Gianurco et al.			

FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
VB	BA	0 606 165 A1	07/13/1994	EPO			X	
	BB	0 540 290 A2	05/05/1993	EPO			X	
	BC	0 364 787 B1	04/25/1990	EPO			X	
VB	BD	WO 94/17754	08/18/1994	PCT			X	
VB	BE	0 541 443 A1	05/12/1993	EPO			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

VB	CA	<i>Manufacturing Processes for Engineering Materials</i> , by Scrope Kalpakjian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340.
VB	CB	<i>A View of Vascular Stents</i> , by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, <u>CIRCULATION</u> , Vol. 79, No. 2, February 1989, pp. 445-457.
VB	CC	<i>The Self-Expanding Mesh Stent</i> , by Ulrich Sigwart, <u>SECTION IV</u> , Chapter 29, pp. 605-610.

EXAMINER CPB

DATE CONSIDERED 10/27/06

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-149 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO: S63.2-S60S			Serial No: 08/511,078		
			APPLICANT: Brian J. Brown, Michael L. Davis					
			FILING DATE: August 3, 1993			Group: 330S		
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS					
EXAMINER'S INITIALS		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Vb</i>	AA	5,059,211	10/22/1991	Sack et al.				
	AB	5,102,417	04/07/1992	Palma				
	AC	5,104,404	04/14/1992	Wolff				
	AD	5,133,732	07/23/1992	Wiktor				
	AE	5,135,536	08/04/1992	Hillstead				
	AF	5,195,984	03/23/1993	Schatz				
	AG	5,197,978	03/30/1993	Hess				
	AH	5,282,823	02/01/1994	Schwartz et al.				
	AI	5,292,331	03/08/1994	Bonneau				
<i>Vb</i>	AJ	5,304,200	04/19/1994	Spaulding				
<i>Vb</i>	AK	5,449,373	09/12/1995	Pinhasik et al.				
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION
<i>Vb</i>	AL	6-4175	03/06/1994	Japan				YES NO
	AM							
	AN							
	AO							
	AP							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
<i>Vb</i>	AR			Japanese Infringement Search on Articulated Expandable Stents, Dated July 12, 1995.				
<i>Vb</i>	AS			ENGINEERING FLUID MECHANICS, Third Edition, John A. Roberson and Clayton T. Crowe, pp. 94 and pp 414-421				
<i>Vb</i>	AT			Cambridge Dictionary of Science and Technology, Cambridge University Press, p. 123.				
EXAMINER <i>CMH</i>			DATE CONSIDERED <i>4/27/96</i>					
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO: S63.2-5605	Serial No: 08/511,076			
			APPLICANT: Brian J. Brown, Michael L. Davis				
			FILING DATE: August 3, 1995	Group: 3308			
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
EXAMINER'S INT'L.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Ch</i>	AA	3,657,744	04/25/1972	Ersck			
	AB	4,141,364	02/27/1979	Schultze			
	AC	4,681,110	07/21/1987	Wiktor			
	AD	4,693,721	09/15/1987	Ducheyne			
	AE	4,786,507	09/06/1988	Fischell et al.			
	AF	4,769,029	09/06/1988	Patel			
	AG	4,787,899	11/29/1988	Lazarus			
	AH	4,830,003	05/16/1989	Wolff et al.			
	AI	4,856,516	08/15/1989	Hillstead			
	AJ	4,922,905	05/08/1990	Strecker			
	AK	4,950,227	08/21/1990	Savin et al.			
	AL	4,950,258	08/21/1990	Kawai et al.			
	AM	4,994,071	02/19/1991	MacGregor			
	AN	5,015,253	05/14/1991	MacGregor			
	AO	5,037,392	08/06/1991	Hillstead			
	AP	5,064,435	11/12/1991	Porter			
	AQ	5,092,877	03/03/1992	Pinchuk			
	AR	5,104,399	04/14/1992	Lazarus			
	AS	5,108,415	04/28/1992	Pinchuk et al.			
	AT	5,108,417	04/28/1992	Sawyer			
	AU	5,122,154	06/16/1992	Rhodes			
	AV	5,139,480	08/18/1992	Hickle et al.			
	AW	5,147,385	09/15/1992	Beck et al.			
	AX	5,147,400	09/15/1992	Kaplan et al.			
<i>Ch</i>	AY	5,158,548	10/27/1992	Lau et al.			
EXAMINER: <i>Joh</i>		DATE CONSIDERED		<i>11/07/06</i>			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified)			ATTY DOCKET NO: S63.2-S603		Serial No: 08/511,076		
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			APPLICANT: Brian J. Brown, Michael L. Davis				
			FILING DATE: August 3, 1995		Group: 330S		
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER'S UNIT	PATENT NUMBER	ISSUE DATE	PATENTEE		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,217,483	06/03/1993	Tower				
AB	5,163,952	11/17/1992	Froix				
AC	5,226,913	07/13/1993	Pinchuk				
AD	5,282,824	02/01/1994	Gianturco				
AE	5,344,425	09/06/1994	Sawyer				
AF	5,354,308	10/11/1994	Simon et al.				
AG	5,354,309	10/11/1994	Schnepp-Pesch et al.				
AII	5,383,892	01/24/1995	Cardon et al.				
AI	5,405,377	04/11/1995	Cragg				
AJ	2,836,181	05/27/1958	J.S. Tapp				
AK	3,509,883	05/05/1970	Dibelius				
AL	3,526,228	09/01/1970	Lyng				
AM	4,300,244	11/17/1981	Bokros				
AN	4,319,363	03/16/1982	Ketharanathan				
AO	4,441,215	04/10/1984	Kaster				
AP	4,470,407	09/11/1984	Hussein				
AQ	4,550,447	11/05/1985	Seiler, Jr. et al.				
AR	4,740,207	04/26/1988	Kreamer				
AS	4,760,849	08/02/1988	Kropf				
AT	4,762,128	08/09/1988	Rosenbluh				
AU	4,771,773	09/20/1988	Kropf				
AV	4,795,458	01/03/1989	Regan				
AW	4,820,298	04/11/1989	Leveen et al.				
AX	4,877,030	10/31/1989	Beck et al.				
AY	3,863,956	04/04/1975	Alfidi et al.				
EXAMINER	DATE CONSIDERED						WPD/JSC
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO: S63.2-5605			Serial No: 08/511,076		
			APPLICANT: Brian J. Brown, Michael L. Davis					
			FILING DATE: August 3, 1995			Group: 3309		
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS					
EXAMINER INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE			CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Ch	4,140,126	02/20/1979	Choudhury					
	4,503,569	03/12/1985	Dotter					
	4,512,338	04/23/1985	Balko et al.					
	4,553,545	11/19/1985	Maass et al.					
	4,560,374	12/24/1985	Hammerslag					
	4,647,416	03/03/1987	Seiler, Jr. et al.					
	4,649,922	03/17/1987	Wiktor					
	4,655,771	04/07/1987	Wallsten					
	4,665,918	05/19/1987	Garza et al.					
	4,795,458	01/03/1989	Regan					
	4,800,882	01/31/1989	Ginnurcu					
	4,848,343	07/18/1989	Wallsten et al.					
	4,872,874	10/10/1989	Taheri					
	4,878,906	11/07/1989	Lindemann et al.					
	3,105,492	10/01/1963	Jeckel					
	3,272,204	09/13/1966	Armandi et al.					
	3,490,975	01/20/1970	Lightwood et al.					
	3,562,820	02/16/1971	Braun					
	3,635,215	01/18/1972	Shea et al.					
	3,771,526	11/13/1973	Rudie					
	3,993,078	11/23/1976	Bergentz et al.					
	4,078,167	03/07/1978	Banis et al.					
	4,127,761	11/28/1978	Pauley et al.					
	4,130,904	12/26/1978	Whalen					
	4,164,045	09/14/1979	Bukros et al.					
EXAMINER <i>C. Palmer</i>			DATE CONSIDERED <i>10/27/05</i>					
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

FORM PTO-1449 (Modified)			ATTY DOCKET NO: S63.2-5605			Serial No: 03/511,076		
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			APPLICANT: Brian J. Brown, Michael L. Davis					
			FILING DATE: August 3, 1995			Group: 330S		
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS					
EXAMINER'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Vb</i>	AA	4,214,587	07/29/1980	Sakura, Jr.				
	AB	4,313,231	02/02/1982	Koyanada				
	AC	4,425,908	01/17/1984	Simon				
	AD	4,501,264	02/26/1985	Rockey				
	AE	4,535,770	08/20/1985	Lemole				
	AF	4,580,568	04/08/1986	Giannucco				
	AG	4,597,389	07/01/1986	Ibrahim et al.				
	AH	4,655,776	04/07/1987	Lesinski				
	AI	4,793,458	01/03/1989	Regan				
	AJ	4,793,465	01/03/1989	Marten				
<i>Vb</i>	AK	4,842,575	06/27/1989	Hoffman, Jr. et al.				
<i>Vb</i>	AL	4,851,009	07/25/1989	Pinchuk				
	AM							
	AN							
	AO							
	AP							
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	AY							
EXAMINER <i>[Signature]</i>			DATE CONSIDERED <i>8/27/95</i>					
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY DOCKET NO: S63.2-5605	Serial No: 08/511,076
		APPLICANT: Brian J. Brown, Michael L. Davis	
		FILING DATE: August 3, 1995	Group: 3308

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

VB	CA	<i>Improved Dilation Catheter Balloons</i> , by Stanley B. Levy, Ph.D., <u>JOURNAL OF CLINICAL ENGINEERING</u> , Vol. 11, No. 4, July-August 1986, pp. 291-296.
	CB	<i>Self-expanding Stainless Steel Biliary Stents</i> ¹ , By Harold G. Coons, MD, <u>RADIOLOGY</u> 1989, Vol. 170, No. 3, Part 2, pp. 979-983.
	CC	Technical Note Entitled <i>Modifications of Gianturco Expandable Wire Stents</i> , By Barry T. Uchida et al., <u>AJR</u> , Vol. 150, May 1988, pp. 1185-1187.
	CD	Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents ² .
	CE	<i>Expandable Biliary Endoprosthesis: An Experimental Study</i> , By Carrasco et al., <u>AJR</u> , Vol. 145, December 1985, pp. 1279-1282.
	CF	<i>Gianturco Expandable Metallic Biliary Stents: Results of a European Clinical Trial</i> ¹ , By Irving, et al., <u>Interventional Radiology</u> , Vol. 172, No. 2, August 1989, pp. 321-326.
	CG	<i>Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications</i> ¹ , Work In Progress, By Wallace et al., <u>RADIOLOGY</u> , February 1986, pp. 309-312.
	CH	Brochure Entitled <i>AVE MICRO STENT</i> ¹ , Instructions for Use, By Applied Vascular Engineering, Inc., pp. 1-15.
VB	CI	Brochure Entitled <i>MICRO STENT</i> ¹ , By Applied Vascular Engineering, Inc.
	CJ	
	CK	
	CL	
	CM	
	CN	
	CO	
	CP	
	CQ	

EXAMINER

DATE CONSIDERED

10/27/98

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT <small>(Use several sheets if necessary)</small>			ATTY DOCKET NO.: S63.2N-9969-US		APPLICATION NO.: 09/878596		
			APPLICANT: Brian J. Brown et al.				
			FILING DATE: June 11, 2001		GROUP: 3731		
REFERENCE DESIGNATION			U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
VB	AA	5716365	2/10/98	Goicoechea et al.	606/108		
	AB	5855600	1/5/99	Alt	623/1		
	AC	5868780	2/9/99	Lashinski et al.	606/198		
	AD	5895407	4/20/99	Jayaraman	606/198		
	AE	5913895	6/22/99	Burpee et al.	623/1		
	AF	6053941	4/25/00	Lindenberg et al.	623/1		
	AG	6183506	2/6/01	Penn et al.	623/1.15		
	AH	6193747	2/27/01	Von Oepen	623/1.15		
VB	AI	6231598	5/15/01	Berry et al.	623/1.15		
	AJ						
	AK						
	AL						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY			
VB	BA	0 796 597 A2	9/24/97	EP			
	BB						
	BC						
	BD						
	BE						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)							
	CA						
	CB						
	CC						
EXAMINER			DATE CONSIDERED	6/17/06			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		ATTY DOCKET NO.: S63.2N-9969-US		APPLICATION NO.: 09/878596	
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz			
		FILING DATE: June 11, 2001		GROUP: 3731	
REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
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	AB				
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FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY		
	BA				
	BB				
	BC				
	BD				
	BE				
OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)					
↓	CA	Starck, E., "First Clinical Experience with the Memotherm Vascular Stent", STENTS State of the Art Future Developments, pages 59-62 (June 1995).			
↓	CB	Melzer, A. et al., "Performance Improvement of Surgical Instrumentation Through the Use of Ni-Ti Materials, Proceedings of SMST-94 The First International Conference on Shape Memory and Superelastic Technologies, pages 401-409 (March 7-10, 1994).			
EXAMINER		DATE CONSIDERED		4/27/06	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		ATTY DOCKET NO.: S63.2N-9969-US	APPLICATION NO.: 09/878596
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz	
		FILING DATE: June 11, 2001	GROUP: 3731

REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
V	AA 6,206,911	3/27/01	Milo	623/1.15	
	AB				
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	AD				
	AE				
	AF				
	AG				
	AH				
	AI				
	AJ				
	AK				
	AL				

FOREIGN PATENT DOCUMENTS				
	DOCUMENT NUMBER	DATE	COUNTRY	
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	BC			
	BD			
	BE			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)				
	CA			
	CB			
	CC			

EXAMINER	<i>CJL</i>	DATE CONSIDERED	<i>11/27/06</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO.: S63.2-6769		APPLICATION NO.: 09/197,278		
			APPLICANT: Brian J. Brown et al.				
			FILING DATE: November 20, 1998		GROUP: 3731		
REFERENCE DESIGNATION			U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME		CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
JB	AA	6,068,656	5/30/00	Von Oepen		623/1.17	
WY	AB	6,129,755	10/10/00	Mathis et al.		623/1.15	
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	AG						
	AH						
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	AK						
	AL						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY			
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		BB					
		BC					
		BD					
		BE					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
JB	CA		SMART TM Stent Brochure, Cordis, a Johnson & Johnson company, date unknown.				
	CB						
	CC						
EXAMINER			DATE CONSIDERED		11/27/00		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO.: S63.2N-9969-US		APPLICATION NO.: 09/878596	
			APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz			
			FILING DATE: June 11, 2001		GROUP: 3731	
REFERENCE DESIGNATION			U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
MD	AA	6,348,065	2/19/02	Brown et al.	623/1.16	
VB	AB	2001/0056298 A1	12/27/01	Brown et al.	623/1.16	
VB	AC	2002/0177893 A1	11/28/02	Brown et al.	623/1.16	
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	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY		
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	BB					
	BC					
	BD					
	BE					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)						
	CA					
	CB					
	CC					
EXAMINER	<i>[Signature]</i>		DATE CONSIDERED		<i>6/29/02</i>	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

UNPUBLISHED US APPLICATIONS		ATTY DOCKET NO.: S63.2N-9969-US		APPLICATION NO. 09/878596
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz		
		FILING DATE: June 11, 2001		GROUP: 3731
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY				
EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)
Up	DA	08/396569	3/1/95	Brown
Up	DB	09/599674	6/22/00	Brown et al.
BS	DC	09/666,866	9/20/00	Brown et al.
	DD			
	DE			
EXAMINER <i>copy</i>			DATE CONSIDERED <i>4/27/06</i>	

EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

2 of 2

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	IMPROVED LONGITUDINALLY FLEXIBLE EXPANDABLE STENT
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Application Number: 09/878596 *09/878596*

Confirmation Number: 4515

First Named Applicant: Brian Brown

Attorney Docket Number: S63.2N-6769-US02

Art Unit: 3731

Examiner: Vy Q. Bui

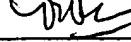
Search string: (5855597 or 6395020).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
W	1	5855597	1999-01-05	Jayaraman		623	1
W	2	6395020	2002-05-28	Ley et al.	B1	623	1.15

Signature

Examiner Name	Date
	14/07/06

Electronic Information Disclosure Statement

IMPROVED LONGITUDINALLY FLEXIBLE EXPANDABLE STENT

09/197278

Application: 09/197278
 Confirmation: 1094
 Applicant(s): Brian Brown
 Docket Number: S63.2-6769
 Group Art Unit: 3731
 Examiner: Vy Q. Bui
 search string: (5609627 or 5716393 or 6231598).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
U	P01	5609627	1997-03-11	*5609627*	Coicoechea et al.	128	898
U	P02	5716393	1998-02-10	*5716393*	Lindenberg et al.	623	1
U	P03	6231598	2001-05-15	*6231598*	Berry et al.	623	1.15

Remarks

(Remarks are not for responding to an office action.)

This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. section 1.97 (b) or otherwise because to the knowledge of the undersigned attorney it is being filed before the mailing date of a first Office Action after the filing of a request for continued examination (RCE) under section 1.114. Nevertheless, if any fee is due with the submission of this IDS, please charge

<i>Notice of References Cited</i>			Application No.	Applicant(s)		
			09/197,278	Brown et al.		
			Examiner	Group Art Unit		
			Lien Ngo	3731	Page 1 of 1	
U.S. PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	NAME		CLASS	SUBCLASS
A	5,800,521	9/1998	Orth		623	1
B	6,017,365	9/1998	Von Oepen		623	1
C						
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FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N						
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NON-PATENT DOCUMENTS						
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)				DATE	
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W						
X	<i>(Signature)</i> <i>11/27/08</i>					

Notice of References Cited	Application/Control No.	Applicant(s)/Patent Under Reexamination	
	09/878,596	BROWN ET AL.	
	Examiner Vy Q. Bui	Art Unit 3731	Page 1 of 1

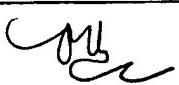
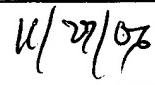
U.S. PATENT DOCUMENTS

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
A	US-5,356,423	10-1994	TIHON et al	623/1
B	US-			
C	US-			
D	US-			
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J	US-			
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L	US-			
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FOREIGN PATENT DOCUMENTS

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
N					
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NON-PATENT DOCUMENTS

*	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)		
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(e).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References Cited		Application/Control No.	Applicant(s)/Patent Under Reexamination BROWN ET AL.	
		09/197,278	Examiner	Art Unit Vy Q. Bui 3731
		Page 1 of 1		

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
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	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)	
	U		
	V		
	W		
	X	<i>70</i>	<i>4/27/02</i>

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.